SAINT VINCENT AND THE GRENADINES

TELECOMMUNICATIONS (PRIVATE NETWORK LICENSING) REGULATIONS, 2002

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SAINT VINCENT AND THE GRENADINES

STATUTORY RULES AND ORDERS

2002 NO.16

(GAZETTED 31st January, 2002)

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IN EXERCISE of the powers conferred by section 72 of the Telecommunications Act, 2001 (No. 1 of 2001) the Minister makes the following Regulations:

TELECOMMUNICATIONS (PRIVATE NETWORK LICENSING) REGULATIONS, 2002

Citation and commencement

- 1. (1) These Regulations may be cited as the Telecommunications (Private Network Licensing) Regulations, 2002.
- (2) These Regulations shall come into force on a day the Minister appoints by Order published in the *Gazette*.

Interpretation

2. In these Regulations

No.1 of 2001

- "Act" means the Telecommunications Act, 2001;
- "affiliate" means a relationship between two entities where one of them directly or indirectly owns more than fifty percent of the capital stock of, or controls the other entity;
- "bypass" means conduct comprising the passing of an international voice service (including a reconstructable voice service as part of a data or mixed voice/data service) without passing through the international gateway switch of a licensed international voice network operator;

"capital stock" includes all forms of equity ownership and partnership interests;

"control" means control in whatever form exercised and is not limited to majority stock ownership, and includes direct or indirect control through intervening subsidiaries;

"licensee group" means the licensee, its parent or subsidiaries, and any affiliate comprising the users of the private telecommunications network;

"private leased circuit" means a telecommunications facility that is provided by means of one or more telecommunications networks for the conveyance of messages between points, all of which are points of connection between those telecommunications systems and other telecommunications systems; such communications a facility is made available to persons whereby messages transmitted at any of the points of connection are received at every such point, and are fixed by the manner in which the communications facility is installed, and cannot otherwise selected by a person or a telecommunications apparatus sending messages by means of the facility.

Obligation to give information Schedule

Signing of applications

- 3. In an application for a licence to establish or operate a private telecommunications network, the applicant must include with that application the information specified in the Schedule.
- 4. (1) The applicant must submit a statement to the Commission in writing specifying the date on which it desires to put the private telecommunications network into operation which must be at least thirty days after the date of that application.
- (2) The information referred to in sub-regulation (1) must be signed by

- (a) an officer if the licensee is a member of an unincorporated association;
- (b) an officer or duly authorised employee if the licensee is a company or other business entity;
- (c) one of the partners if the licensee is a partnership; or
- (d) the licensee personally if an individual.

Procedure for dealing with amended information 5. A licensee must forward updated information to the Commission within thirty days from effecting any major change in information originally submitted by submitting a statement with the additional information and a declaration on the anniversary date of the submission of the original statement, specifying the truth and accuracy of the information.

Limits to disclosure of information

- 6. (1) The Commission shall maintain a list of persons or entities licensed to establish and operate a private telecommunications network under these Regulations, and shall make that list and any information referred to in regulations 4 and 5 available for public inspection at its principal office.
- (2) Notwithstanding sub-regulation (1) confidential information must not be disclosed to any member of the public.

Prohibition

- 7. (1) A private telecommunications network shall only be established or operated by means of
 - (a) telecommunications facilities provided by a person licensed to operate a public switched telecommunications network; or
 - (b) telecommunications facilities in respect of which a licensee is licensed to operate.
- (2) A private telecommunications network operator who uses facilities under sub-regulation (1) shall not be interconnected to the public switched telecommunications network.

(3) A licence to establish and operate a private telecommunications network shall not, as a condition of that licence, restrict the services provided to the licensee by itself to voice traffic or data traffic, or similar limited use.

Resale of excess capacity on private telecommunications network

- 8. (1) A licensee of a private telecommunications network shall be entitled to
 - (a) cede or assign the rights to use excess capacity on private telecommunications network facilities;
 - (b) resell excess capacity on private telecommunications network facilities; or
 - (c) sublet or surrender control of the private telecommunications network facilities on a long-term basis.
- (2) Where a licensee resells its excess capacity on, or sublets or surrenders control of, its private telecommunications network facilities ("resale"), that resale must
 - (a) be incidental to the purposes of the private telecommunications network;
 - (b) be offered only to licensed operators; and
 - (c) not prevent the rightful and proper use of the private telecommunications network by any member of the licensee group.

Bypass prohibition

9. A person shall not engage in bypass.

Messages to cease

10. Where a private telecommunications network is used for the purpose of advertising the sale of goods, services or any other thing by sending messages to the number of an end-user, a licensee who receives a request from that end-user to cease sending the messages shall cease to do that act forthwith.

Safeguard for confidentiality

11. A licensee shall take all reasonable steps to

- (a) ensure the accuracy and reliability of any metering system used in connection with a private telecommunications network;
- (b) keep records of all metering that shall be made available to the Commission, upon the request of the Commission;
- (c) safeguard information acquired by the licensee in relation to the conveyances of communications; and
- (d) safeguard the privacy and confidentiality of any communications conveyed relating to the private telecommunications network.

Restriction on unfair practices

- 12. (1) A licensee shall not, whether by any act or omission, do anything that results in or is intended to have, or is likely to have the effect of distorting, preventing or restricting competition in the course of, or as a result of, or in connection with the establishment or operation of a private telecommunications network licensed under these Regulations.
- (2) No public telecommunications operator shall disconnect or suspend service to any operator of a private telecommunications network for any reason other than
 - (a) as required by law or pursuant to any order issued by the Commission;
 - (b) in accordance with the terms of any contract for the provision of that service; or
 - (c) if the operator believes on reasonable grounds that the private operator is engaging in bypass.

Notice of violation

13. (1) Where there are reasonable grounds to suspect that a licensee holding a private telecommunications network licence violates any provision of the Act or its Regulations, the licensee shall be served with a written notice by the Commission requesting an explanation of the alleged violation.

- (2) Pursuant to sub-regulation (1), the licensee shall submit a written answer in duplicate to the Commission within seven days from receipt of the notice, or during any other period as may be specified in the notice.
- (3) Where an answer cannot be furnished within the specified period due to unavoidable circumstances, the licensee shall acknowledge the notice and request an extension of time, setting out in the letter of acknowledgement a satisfactory explanation for the delay and the reasons for the extension.

Notification of revocation

14. (1) The Commission shall, before revoking a licence, give the licensee written notice of its intention to do so, and give the licensee a period of not less than twenty-eight days from the date of the notice in which to make representations in relation to the proposed revocation.

Revocation of licence

- 15. (1) The Minister may, on the advice of the Commission, revoke a licence held by a licensee that relates to all or any part of a private telecommunications network established or operated by that licensee in the following circumstances:
 - (a) where the licensee has repeatedly or willfully engaged in bypass;
 - (b) where the Commission has given the licensee not less than thirty days notice in writing that failure by the licensee to comply with a directive within that period would result in the revocation of the licence in respect of that licensee or of all or any part of the private telecommunications network operated by that licensee;
 - (c) the licensee has failed to comply with a final order of the Commission; or
 - (d) the Minister determines that revocation of the licence is required in respect of the licensee in the interests of national security.

SCHEDULE

[regulation 3]

INFORMATION REQUIRED FOR SUBMISSION BEFORE ESTABLISHING A PRIVATE TELECOMMUNICATIONS NETWORK

- 1. The licensee shall submit the following information:
 - (a) a description of the nature and purpose of the service to be provided by the proposed private telecommunications network, including
 - (i) a description of terminal equipment comprising the private telecommunications network including the equipment to be used and to be provided by the licensee;
 - a detailed description of technical aspects of (ii) proposed private telecommunications network including but not limited to a descriptive configuration ofthe proposed private telecommunications network;
 - (iii) a statement certifying that the equipment complies with type approval requirements in Saint Vincent and the Grenadines including a statement of compliance from a recognised approval agency;
 - (iv) full details of the premises where the elements of the proposed private telecommunications network will be located;
 - (v) the area of operation of the proposed private telecommunications network; and
 - (vi) the public telecommunication systems or private leased circuit to which the private telecommunications network is to be connected;
 - (b) a description of the members of the licensee group;
 - (c) a list of any other countries that are signatories to the Eastern Caribbean Telecommunications Authority Agreement, where the applicant intends to apply for or has applied for, or has been granted

- an individual licence, a class licence, a frequency authorisation or a cable landing licence;
- (d) any information that the applicant considers relevant;
- (e) a statement that the licensee intends to establish and operate a private telecommunications network;
- (f) a statement whether any individual or class licence to provide facilities or services in Saint Vincent and the Grenadines or a frequency authorisation to utilize frequencies in Saint Vincent and the Grenadines has previously been granted to the licensee under the Act, and if so a general description of the categories of facilities, services or frequency so authorised;
- (g) the e-mail address, name, post office address, telephone number and title of the licensed registered office;
- (h) the e-mail address, name, post office address, telephone number and the title of the officer and any other contact person to whom correspondence concerning the licence is to be addressed;
- (i) the following details if the private telecommunications network is to be connected to any private leased circuit provided by a satellite system using a very small aperture terminal (also known as VSAT):
 - (i) the antenna, facility site, identification numbers, antenna identification number, manufacturer and model number of the antenna, number of antenna to be used by the private telecommunications network, antenna size in meters, antenna gain both transmit and/or gain receive expressed in C_____ d Bi at GHz with respect to the VSAT earth statium antenna to be used by the private telecommunications network;
 - (ii) the destination points in Saint Vincent and the Grenadines and any foreign country for the private telecommunications network communications using the satellite;
 - (iii) the frequency coordination limits of the VSAT;
 - (iv) the maximum antenna height and maximum power limit for each antenna;

- (v) the particulars of operation for each RF carrier for each antenna;
- (vi) the satellite name and orbit location; and
- (vii) a statement that the licensee will cooperate with any other licensee whose VSAT operation is potentially or adversely affected by the first mentioned licensee's operation, in order to reach an agreement in good faith, for modification of power density levels in either or both systems, and to facilitate operations without harmful interference;
- (j) the particulars of the international simple resale bearer circuit and other telecommunication system where the private telecommunications network is to be connected to that international simple resale bearer circuit;
- (k) the state or territory where the corporation, partnership or other business enterprise is incorporated; and
- (l) whether the licensee is a partnership, corporation or other business enterprise.
- 2. For the purpose of paragraph 1(i) sub-paragraph (iii), the frequency coordination limits of the VSAT include
 - (a) antenna elevation angles;
 - (b) earth station azimuth angles;
 - (c) frequency limits in MHz;
 - (d) satellite arc ranges; and
 - (e) the maximum EIRP density toward the horizon (d BW/4kHz).
- 3. For the purpose of paragraph 1(i) sub-paragraph (iv), the maximum antenna height and the maximum power limit for each antenna includes the
 - (a) building height above ground level in meters;
 - (b) maximum antenna height above roof-top in meters;

- (c) maximum antenna height in meters above ground level and above mean sea level:
- (d) total EIRP for all carriers, expressed in d B W; and
- (e) total input power at antenna flange, expressed in watts.
- 4. For the purposes of paragraph 1(i) sub-paragraph (v), the particulars of operation for each RF carrier for each antenna include
 - (a) a description of the modulation techniques and services provided;
 - (b) antenna polarization (H,V,L,R);
 - (c) emission designator;
 - (d) the frequency bands used (MHz);
 - (e) maximum EIRP density per-carrier (dBW/4kHz);
 - (f) maximum EIRP per-carrier (dBW); and
 - (g) transmit/receive mode.

Dated the 29 day of January 2002.

Dr. Jerrol Thompson Minister for Telecommunications